



## FACOR ALLOYS LIMITED

Regd. Office & Works: SHREERAMNAGAR-535 101, Dist. Vizianagaram, A.P., India CIN L27101AP2004PLC043252  
Phones: +91 8952 282029, 282038, 282456 Fax: +91 8952 282188 E-Mail: [facoralloys@facorgroup.in](mailto:facoralloys@facorgroup.in) Website: [WWW.facoralloys.com](http://WWW.facoralloys.com)

---

HSC/198/QSEX/

03rd April, 2018

The Dy. General Manager,  
The Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
MUMBAI - 400 001

FAX NO. 022-22722037/ 61/39/41  
22723121/3719

Dear Sir,

Re: Submission of Certificate under Regulation 40 (9) of SEBI (LODR) Regulation, 2015  
for the half-year period ended March, 2018

With reference to above, please find enclosed herewith a Certificate issued by a Company Secretary in practice under Regulation 40 (9) of SEBI (LODR) Regulation, 2015 for the half-year period ended March, 2018.

Please arrange to take the aforesaid Certificate on record and confirm.

Request to acknowledge receipt.

Thanking you,

For FACOR ALLOYS LTD.,

(S.S. SHARMA)  
SECRETARY

Encl.: - As above.

**UMESH CHAND SHARMA**  
Practicing Company Secretary

**UMESH CHAND SHARMA & CO.**

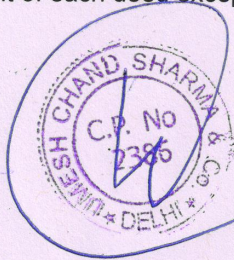
2nd Floor, 10159, Padam Singh Road, Karol Bagh, New Delhi-110005  
Ph. (M) 9811791967

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATION,**  
**2015 FOR THE HALF YEAR ENDED MARCH, 2018**

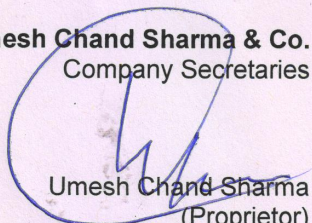
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **FACOR Alloys Ltd.** (name of the company) maintained by **M/s MAS Services Ltd., New Delhi** (name of the RTA) pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2017** (beginning date of the half year) to **31st March, 2018** (end date of the half year) for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (LODR) Regulation, 2015. Based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **31st March, 2018** (half year ended March/September) :

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **1<sup>st</sup> October, 2017** (*beginning date of the half year*) to **31st March, 2018** (*end date of the half year*) as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Date : 03rd April, 2018  
Place: New Delhi



For **Umesh Chand Sharma & Co.**  
Company Secretaries

  
Umesh Chand Sharma  
(Proprietor)

ACS No.8522 ; C.P No. 2386





## FACOR ALLOYS LIMITED

Regd. Office & Works: SHREERAMNAGAR-535 101, Dist. Vizianagaram, A.P., India CIN L27101AP2004PLC043252  
Phones: +91 8952 282029, 282038, 282456 Fax: +91 8952 282188 E-Mail: [facoralloys@facorgroup.in](mailto:facoralloys@facorgroup.in) Website: [WWW.facoralloys.com](http://WWW.facoralloys.com)

---

HSC/198/QSEX/

10th October, 2017

The Dy. General Manager,  
The Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
MUMBAI - 400 001

FAX NO. 022-22722037/ 61/39/41  
22723121/3719

Dear Sir,

Re: Submission of Certificate under Regulation 40 (9) of SEBI (LODR) Regulation, 2015  
for the half-year period ended September, 2017

With reference to above, please find enclosed herewith a Certificate issued by a Company Secretary in practice under Regulation 40 (9) of SEBI (LODR) Regulation, 2015 for the half-year period ended September, 2017.

Please arrange to take the aforesaid Certificate on record and confirm.

Request to acknowledge receipt.

Thanking you,

For FACOR ALLOYS LTD.,

(S.S. SHARMA)  
SECRETARY

Encl.: - As above.

**UMESH CHAND SHARMA**  
Practicing Company Secretary

**UMESH CHAND SHARMA & CO.**

2nd Floor, 10159, Padam Singh Road, Karol Bagh, New Delhi-110005  
Ph. (M) 9811791967

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATION,**  
**2015 FOR THE HALF YEAR ENDED SEPTEMBER, 2017**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **FACOR Alloys Ltd.** (name of the company) maintained by **M/s MAS Services Ltd., New Delhi** (name of the RTA) pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2017** (beginning date of the half year) to **30th September, 2017** (end date of the half year) for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (LODR) Regulation, 2015. Based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30th September, 2017** (half year ended March/September) :

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **1<sup>st</sup> April, 2017** (beginning date of the half year) to **30th September, 2017** (end date of the half year) as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Date : 10<sup>th</sup> Oct., 2017  
Place: New Delhi



For Umesh Chand Sharma & Co.  
Company Secretaries

*Umesh Chand Sharma*  
Umesh Chand Sharma  
(Proprietor)

ACS No.8522 ; C.P No. 2386